

Applicants:

Baker et al.

Docket No:

39780-2830P1C47

Serial No:

10/015,671

Group Art Unit:

1647

Filed:

December 11, 2001

Examiner:

Rachel K. Hunnicutt

For:

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC

ACIDS ENCODING THE SAME

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

<u>DECLARATION OF NAPOLEONE FERRARA, Ph.D.,</u> <u>AUDREY GODDARD, Ph.D., PAUL J. GODOWSKI, Ph.D.,</u> <u>AUSTIN GURNEY, Ph.D., JAMES PAN, Ph.D., COLIN K. WATANABE and</u> <u>WILLIAM I. WOOD, Ph.D. UNDER 37 CFR 1.131</u>

- 1. We are the inventors of the above-identified application.
- 2. We have read and understood the claims pending in this application, and are aware that the claims have been rejected as anticipated by U.S. Patent Publication No. 2003/0096951 (Jacobs *et al.*, publication date May 22, 2003 and effective filing date August 14, 1998).
- 3. The polypeptide designated as PRO1244 (SEQ ID NO:130) claimed in the above-identified application in the United States was sequenced and cloned prior to August 14, 1998.
- 4. At the time the PRO1244 polypeptide was cloned and sequenced, one of the inventors, Austin Gurney, Ph.D., was responsible for overseeing the cloning of cDNAs which encoded novel polypeptides, including the cDNA that encoded PRO1244 polypeptide (SEQ ID NO:130) claimed in the above-identified application.

- 5. At the time the PRO1244 polypeptide was cloned and sequenced, one of the inventors, Audrey Goddard, Ph.D., was, and still is, responsible for overseeing the sequencing of novel polypeptides, including the PRO1244 polypeptide (SEQ ID NO:130) claimed in the above-identified application.
- 6. A cDNA clone, referred to as DNA64883-1526 in the above-identified application, was identified as encoding the PRO1244 polypeptide.
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- 8. Copies of the pages from the GSeqEdit database which report the cloning and sequencing data for the PRO1244 polypeptide sequence and its encoding nucleic acid sequence are attached to this declaration (with the dates redacted) as Exhibit A.
- 9. The GSeqEdit report shows the full-length nucleic acid sequence for DNA-64883-1526 (identified as "DNA-64883") and the full-length PRO1244 polypeptide encoded by DNA 64883. Both the DNA-64883 and the PRO1244 polypeptide sequences were obtained prior to August 14, 1998.
- The DNA-64883 sequence shown in the GSeqEdit report is identical to that of SEQID NO: 129 disclosed in the above-identified application.
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- The amino acid sequence shown in the GSeqEdit report is identical to that of SEQ ID NO: 130 disclosed in the above-identified application.

- 13. The first 26 amino acid residues of the PRO1244 polypeptide (SEQ ID NO:130) encoded by the cDNA (DNA-64883) are also shown on page 1 of the GSeqEdit report and the remaining 309 residues appear on pages 2-6 of the report.
- 14. All activities listed under paragraphs 4-13 were completed prior to August 14, 1998. (See Exhibit A).
- 15. We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information or belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

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Paul J. Godowski, Ph.D.	Date
James Pan, Ph.D.	Date
Austin Gurney, Ph.D.	Date
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Napoleone Ferrara, Ph.D.	Date
Audrey Goddard, Ph.D.	Date
Paul J. Godowski, Ph.D.	Date
James Pan, Ph.D.	Date
Austin Gurney, Ph.D.	Date
Colin K. Watanabe	Date
William of Wood	10/5/14
William I. Wood, Ph.D.	Date

>DNA64883 [Full]

>510 Sites [All Sites]

DNA64883 wiw GSeqEdit

DNA64883 zemin GSeqEdit

DNA64883 goddarda GSeqEdit

DNA64883 sheldens GSeqEdit

>HBN64883.seq, sequenced at ABI/ACGT by Peter Ma and Ellson Chen >human ortholog of implantation-associated protein - Rattus nlaIII

mslI

styI

ncol

dsaI

btgI/bstDSI

tseI

fnu4HI/bsoFI

bbvI bstXI

tseI bstUI[M.hhaI-]

paeR71 mwoI

tsp5091[M.ecoRI-]

bbvI bsh1236I

aval[M.taqI-]

ecoRI

apol mwol bseRI

fnu4HI/bsoFI

nlaIII hhaI/cfoI

hinPI acil fnuDII/mvnI

thaI

mnlI taqI xhoI tliI smlI bsaJI

hinPI

dsq

taiI

hhal/cfol

haeII

bsmAI maeIII

maeII/hpyC

hpy991 mnll CGGAATICGG CICGAGGAGC GAACAIGGCA GCGCGTIGGC GGTTITGGTG IGTCICTGIG ACCAIGGIGG IGGCGCIGCI CATCGITIGC GACGITCCCI

GCCTTAAGCC GAGCTCCTCG CTTGTACCGT CGCGCAACCG CCAAAACCAC ACAGAGACAC TGGTACCACC ACCGCGACGA GTAGCAAACG CTGCAAGGGA **>**

^insert starts here

mnlI

alwNI[dcm-]

alw261/bsmAI

bsaXI

hpy188I

mspAll/nspBII

aluI IInad

bsmAI

101 CAGCCTCTGC CCAAAGAAAG AAGGAGATGG TGTTATCTGA AAAGGTTAGT CAGCTGATGG AATGGACTAA CAAAAGACCT GTAATAAGAA TGAATGGAGA GICGGAGACG GGTITCITIC TICCICIACC ACAATAGACI TITCCAATCA GICGACIACC ITACCIGATI GIITICIGGA CAITAITCIT ACTIACCICI × K V S တ 凶 O. R. တ Æ

bst4CI/hpyCH4III

tspRI btsI

cac8I

ahdI/eam1105I

cac8I

hpyCH4V al -201 CAAGITCCGT CGCCTTGTGA AAGCCCCACC GAGAAATTAC TCCGTTATCG TCATGTTCAC TGCTCTCCAA CTGCATAGAC AGTGTGTCGT TTGCAAGCAA GTTCAAGGCA GCGGAACACT TTCGGGGTGG CTCTTTAATG AGGCAATAGC AGTACAAGTG ACGAGAGGTT GACGTATCTG TCACACAGA AACGTTCGTT O × hpyCH4V tspRI **~** nlaIII [x4 Σ. I A S tsp5091 œ 4 hpy991 ×

301 GCTGATGAAG AATTCCAGAT CCTGGCAAAC TCCTGGCGAT ACTCCAGTGC ATTCACCAAC AGGATATTT TTGCCATGGT GGATTTTGAT GAAGGCTCTG btg1/bstDSI nlaIII bsaJI dsaI styl ncol bsrI bsmI hphI apyr[dcm+] bpml/gsur[dcm-] tspRI bssKI[dcm-] ecoRII[dcm-] bstNI bael scrFI[dcm-] dsaV[dcm-] pspGI mvaI mbol/ndell[dam-] bssKI[dcm-] apy1[dcm+] dsaV[dcm-] dpnII[dam-] alw261/bsmAI bstNI alwI[dam-] bstYI/xhoII tsp5091[M.ecoRI-] dpnI[dam+] alwNI[dcm-] ecoRI pflMI[dcm-] apol bsll[dcm-] sau3AI mboli hpy188111

ecoRII[dcm-]

scrFI[dcm-]

pspGI

mvaI

GSeqEdit, DNA64883 [Full], page 3

CGACTACTIC TTAAGGICTA GGACCGITIG AGGACCGCTA TGAGGICACG TAAGIGGIIG ICCTAIAAAA AACGGIACCA CCTAAAACTA CTICCGAGAC

93 A D E

tsp5091[M.ecoRI-]

ecoRI

hpyCH4V

ecoNI

apol

aluI nlaIII

hpy188I

bsli

bsll

ndeI hphI

401 ATGTATITCA GAIGCTAAAC AIGAAITCAG CICCAACIII CAICAACITI CCIGCAAAAG GGAAACCCAA ACGGGGIGAI ACAIAIGAGI IACAGGIGCG TACATAAAGT CTACGATITG TACTTAAGIC GAGGIIGAAA GTAGIIGAAA GGACGIITIC CCITIGGGII TGCCCCACTA IGTATACICA AIGICCACGC ტ 2 I. 127 V F

ddeI[M.aluI-]

sau3AI **DSPCNI**

mbol/ndell[dam-] hpall cellI/espI

blpI/bpull02I scrFI[M.hpaII-]

dpnII[dam-] nciI

dpnI[dam+] dsaV

pvull

bssKI alwI[dam-]

sspI

avall bsli tsp5091

sau96I **bsmFI**

nlaIV

Σ

501 GGGTTTTTCA GCTGAGCAGA TTGCCCGGTG GATCGCCGAC AGAACTGATG TCAATATTAG AGTGATTAGA CCCCCAAATT ATGCTGGTCC CCTTATGTTG CCCAAAAAGT CGACTCGTCT AACGGGCCAC CTAGCGGCTG TCTTGACTAC AGTTATAATC TCACTAATCT GGGGGTTTAA TACGACCAGG GGAATACAAC mspAll/nspBII

taqI

sful

tsp5091

bsici

bael

bstBI

bsrI mseI

apoI

bstF5I tru9I

mwoI hpyCH4V

fnu4HI/bsoFI

fokI

bbvI

aluI

tseI

601 GGATTGCTTT TGGCTGTTAT TGGTGGACTT GTGTATCTTC GAAGAAGTAA TATGGAATTT CTCTTTAATA AAACTGGATG GGCTTTTGCA GCTTTGTGT CCTAACGAAA ACCGACAATA ACCACCTGAA CACATAGAAG CTTCTTCATT ATACCTTAAA GAGAAATTAT TTTGACCTAC CCGAAAACGT CGAAACAAA Ilodm Ilodm

nlaIII	styl	ncol	dsaI	btgI/bs
nlaIII	pcil	IHdsu	Idsu	aflili
			psll	tfl

sau96I avaII tsp509I bsaJI

bsmFI

hinfi

mnlI

nlaIV

ahdI/eam1105I

cac8I

701 TIGIGCTIGC INIGACATCI GGICAANIGT GGAACCATAI AAGAGGACCA CCATAIGCCC ATAAGAAICC CCACACGGGA CAIGIGAATT AIAICCAIGG AACACGAACG ATACTGTAGA CCAGTTTACA CCTTGGTATA TTCTCCTGGT GGTATACGGG TATTCTTAGG GGTGTGCCCT GTACACTTAA TATAGGTACC 227 tseI ddeI

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	SOFI	hpy1	mnlI	
fnu4HI/bsoFI	fnu4HI/b bbvI		aluI mnlI	
	eco811	bsu36I/mstII/sauI	maelII	
		tru9I	mboII mseI	
	•			

801 AAGCAGTCAA GCCCAGTTTG TAGCTGAAAC ACACATTGTT CTTCTGTTTA ATGGTGGAGT TACCTTAGGA ATGGTGCTTT TATGTGAAGC TGCTACCTCT TICGICAGIT CGGGICAAAC AICGACITIG IGIGIAACAA GAAGACAAAI TACCACCICA AIGGAAICCI IACCACGAAA AIACACIICG ACGAIGGAGA

aluI

bsrI

æ EZ) Ċ H 260

dpnII [dam-] bstYI/xhoII dpnI[dam+] bglII bstF5I sfaNI fokI eco57I mboII

mbol/ndeII[dam

sau3AI

CIGIACCIAT AACCITICGC ITICIATIAC ACACACCGAC CATAACCIGA ACAACATAAT AAGAAGICAA CCTACGAGAG AIAAAAAICT AGAITIAIAG 901 GACATGGATA TIGGAAAGCG AAAGAIAAIG IGIGIGGCIG GIAIIGGACI IGIIGIAITA IICIICAGII GGAIGCICIC IAITIIIAGA ICIAAAIAIC G nlaIII

bsmFI

sau96I

rsal

nlaIV

bpmI/gsuI[dcm-]

tsp5091 csp61 scal tspRI bsrI eco01091/draII avall **DPuMI** tru9I mseI aluI hpy188I 1001 ATGGCTACCC ATACAGCTTT CTGATGAGTT AAAAAGGTCC CAGAGATATA TAGACACTGG AGTACTGGAA ATTGAAAAAC GAAAATCGTG TGTGTTGAA TACCGAIGGG TAIGICGAAA GACTACICAA IITIICCAGG GICTCTATAI AICIGIGACC ICAIGACCII TAACIIITIG CITITAGCAC ACACAAACII 327

tru9I

tru9I msel tru9I ahalll/dral

mseI

mseI

SWaI

mnlI

mboII · hpyCH4V DsmI

Ilodm

tru9I msel AAGAAGAATG CAACTTGTAT ATTTTGTATT ACCTCTTTTT TTCAAGTGAT TTAAATAGTT AATCATTTAA CCAAAGAAGA TGTGTAGTGC CTTAACAAGC ITCTICITAC GITGAACAIA IAAAACAIAA IGGAGAAAAA AAGITCACIA AATTIAICAA IIAGIAAAIT GGITICIICI ACACAICACG GAAITGIICG 1101

mnlI

bsrI ddeI

tru9I

tsp509I

rsal tsp5091

tru9I mseI

mseI

csp6I

bspcni

hpy188I

mnlI

mboll tspRI mnll tru91

tsp509I

mseI

earI/ksp632I

1201 AATCCICTGI CAAAAICIGA GGIAITIGAA AATAAITAIC CICTIAACCI ICICIICCCA GIGAACIIIA IGGAACAIII AAITIAGIAC AAITAAGIAI ITAGGAGACA GITITAGACT CCATAAACIT ITATTAATAG GAGAATIGGA AGAGAAGGGT CACITGAAAT ACCITGTAAA TTAAATCATG TTAATTCATA

tru9I

msel

hpaI

hincil/hindil hpy1881

1301 ATTATAAAAA TIGIAAAACI ACTACITIGI ITIAGITAGA ACAAAGCICA AAACIACITI AGITAACIIG GICAICIGAI IITAIAITIGC CITAICCAAA Paatatitit aacatitiga tgatgaaaca aaatcaatct igtitcgagt titgatgaaa tcaattgaac cagtagacta aaatataacg gaataggtit psil

aluI

tsp5091

scrFI[dcm-]

pspGI

mvaI

ecoRII[dcm-]

dsaV[dcm-]

bstNI

basKI[dcm-] apyI[dcm+]

sexAI

hpy188III

mboII ecoRI xmnI

tsp5091[M.ecoRI-]

aluI asp700

bstF5I 1401 GAIGGGGAAA GIAAGICCIG ACCAGGIGII CCCACAIAIG CCTGIIACAG AIAACIACAI IAGGAAITCA TICITAGCII CIICAICIII GIGIGGAIGI fokI mslI ddeI[M.aluI-] apoI maeIII ndeI

CTACCCCTTT CATTCAGGAC TGGTCCACAA GGGTGTATAC GGACAATGTC TATTGATGTA ATCCTTAAGT AAGAATCGAA GAAGTAGAAA CACACCTACA

taiI

hgiAI/aspHI

bsp1286

rmal ddel maeII/hpyCH4IV **DSIHKAI**

hpy1881 Ilodm

bpuAI

nlaIII bbsI

tsp5091

sfaNI

accI

bst1107I bst217I

aflil mael bspC hpy188I eco57I

mboli bmyl btri bfal mnll

CATATGAAAT GCGTAGAAAG GAAAACTCAT CTCTTTAATA CACACAGTAC ACCAGAAGAC TTTTACCTTG TGGTAAGAAG TCTCGTGTGC AGATCGGGAG 1501 GIATACTITA CECATCTITC CITITGAGIA GAGAAATIAT GIGIGICAIG IGGICTICIG AAAAIGGAAC ACCATICITC AGAGCACACG ICTAGCCCIC

tth1111/aspI

pleI

pflFI

mlyI

bpmI/gsuI[dcm-]

hinPI

bst4CI/hpyCH4III mnll hpyCH4V

bseRI

mnli bseRI

hinfI

bsmAI bsmAI hhal/cfol bspCNI 1601 AGCAAGACAG TIGITICICC TCCTCCTIGC ATAITICCIA CIGCGCTCCA GCCTGAGTGA TAGAGTGAGA CICTGTCTCA AAAAAAAGTA ICTCTAAATA TCGTTCTGTC AACAAAGAGG AGGAGGAACG TATAAAGGAT GACGCGAGGT CGGACTCACT ATCTCACTCT GAGACAGAGT TTTTTTCAT AGAGATTTAT

tru9I

msel hpaI .

tfil

hinfi

xmnI

smlI

tsp5091

asp700

hpy188I

ddeI

msel bstEII

tru91 maeIII

Ihqh

tsp45I

1701 CAGGATTATA ATTICHGCTT GAGTATGGTG TTAACTACCT TGTATTTAGA AAGATTTCAG ATTCATTCCA TCTCCTTAGT TTTCTTTTAA GGTGACCCAT GICCIAATAI TAAAGACGAA CICATACCAC AATIGAIGGA ACATAAAICI TICTAAAGIC TAAGIAAGGI AGAGGAATCA AAAGAAAATI CCACTGGGIA hincII/hindII

maeIII ddeI[M.aluI-]

tspRI

nlaIII

haeIII/palI

tsp509I

maelli

csp6I

rsal

tsp45I

1801 CIGIGATAAA AATATAGCIT AGIGCIAAAA ICAGIGIAAC ITATACAIGG CCIAAAAIGI ITCIACAAAI TAGAGITIGI CACITAITCC AITIGIACCI GACACTATIT TTATATCGAA TCACGATITT AGTCACATTG AATATGTACC GGATTTTACA AAGATGTTA ATCTCAAACA GTGAATAAGG TAAACATGGA

tsp45I maeIII

ecoRII[dcm-]

mvaI bssKI[dcm-]

ecoRII[dcm-]

dsaV[dcm-]

bstNI

scrFI[dcm-]

pspGI mvaI mscI/ball[dcm-]

eaeI[dcm-]

scrFI[dcm-]

pspGI

cfrI

haeIII/palI

hpy18 bssS

mboI/nd dpnII[d dpnI[da

haeIII/palI

mnll bsaJI

bspCNI ddeI

sau3AI

styl cac81 bssKI[dcm-] tspRI hinPI dsaV[dcm-] bstni

pleI bslI[dcm-] hhaI/cfoI

mlyI bsaJI apyI[dcm+]

hinfl apyl[dcm+] btsI

bspCNI ddeI

1901 AAGAGAAAA TAGGCTCAGT TAGAAAAGGA CTCCCTGGCC AGGCGCAGTG ACTTACGCCT GTAATCTCAG CACTTTGGGA GGCCAAGGCA GGCAGATCAC TICICITITI AICCGAGICA AICITIICCI GAGGGACCGG ICCGCGICAC IGAAIGCGGA CAITAGAGIC GIGAAACCCI CCGGIICCGI CCGICIAGIG

mscI/ball[dcm-]
eael[dcm-]
scrFI[dcm-]
pspGI
mvaI
ecoRII[dcm-]
dsaV[dcm-]

bamAI basKI[dcm-]

bstNI

taqı foki cfri nlaili bsmAi

nlaIV aluI tsp509I bsmBI esp31 hpy188III apyI[dcm+] hphI hpy188III bsaI bstF5I haeIII/palI

2001 GAGGICAGGA GITCGAGACC AICCIGGCCA ACAIGGIGAA ACCCCGICIC TACIAAAAI ATAAAAAITA GCIGGGIGIG GIGGCAGGAG CCIGIAAICC CICCAGICCI CAAGCICIGG TAGGACCGGI IGTACCACIT IGGGGCAGAG AIGAITITIA TAITITIAAT CGACCCACAC CACCGICCIC GGACAITAGG

scrFI[dcm-]
pspGI
mvaI
ecoRII[dcm-]

tspRI dsaV[dcm-]

sau3AI btsI bstNI

mbol/ndeII[dam-] bssKI[dcm-]
dpnII[dam-] hpyCH4V apyI[dcm+]
mnll tspRI dpnI[dam+] bsgI bpmI/gsuI[dcm-]

hpy188III

ddeI bspCNI

tfiI hinfI

ddel t bspCNI h mnll mnll bssSI

aluI

2101 CAGCTACACA GGAGGCTGAG GCACGAGAAT CACTTGAACT CAGGAGATGG AGGTTCAGT GAGCCGAGAT CACGCCACTG CACTCCAGCC TGGCAACAGA GICGAIGIGI CCICCGACIC CGIGCICITA GIGAACTIGA GICCICIACC ICCAAAGICA CICGGCICIA GIGCGGIGAC GIGAGGICGG ACCGITGICI

fnu4HI/bsoFI haeIII/palI

mcri

eagI/xmaIII/eclXI

eaeI

cfrI

rmaI **DSIEI**

fnu4HI/bsoFI bfaI

hinfi

pleI mlyI

2201 GCGAGACTCC ATCTCAAAAA AAAAAAAAA AAAAAAAA AAAAAAAGG CGGCCGCCGA CTAGTGAGC CGCTCTGAGG TAGAGTTTTT TTTTTTTTTTTTT TTTTTTTCCC GCCGCGGCT GATCACTCG acil acil spel bsmAI

> length: 2269

accI (GTMKAC):

acil(CCGC):

aflii (ACRYGT):

ahaIII (TTTAAA):

ahdI (GACNNNNGTC)

aluI (AGCT):

alw26I (CAGNNNCTG):

alwI (GGATCNNNN):

alwni (Cagnnnctg)

apol (RAATTY): apyI (CCWGG):

asp700 (GAANNNTTC)

asphi (GWGCWC):

1501

780 1586

1150

278 714

101 316

318 530

101 316

1464 1749

1582